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PATENT APPLICATION  
DOCKET NO. T9089

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Eugene F. Rock  
SERIAL NO.: 09/782,941  
FILED: 2/14/01  
FOR: COAXIAL HELICOPTER  
ART UNIT:  
EXAMINER:  
DOCKET NO.: T9089

CERTIFICATE OF DEPOSIT  
UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, under 37 C.F.R. § 1.8 on the date indicated below and is addressed to Box Missing Parts, Assistant Commissioner of Patents, Washington, D.C. 20231.

Judy Anderson  
Judy Anderson

June 5, 2001  
Date of Deposit

RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
PATENT APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application mailed April 25, 2001, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified non-provisional patent application:

- ☒ An Assignment from the inventor to AIRSCOOTER CORPORATION;
- ☐ A Declaration Claiming Small Entity Status for Independent Inventor;
- ☒ Declaration and Petition;
- ☒ Power of Attorney;
- ☒ A copy of the Notice to File Missing Parts of Provisional Application - Filing Date Granted;
- ☒ Prior Art Statement, Form PTO-1449, and copies of cited prior art;
- ☒ Check in the amount of \$ 554.00 to cover the filing fee for the above-identified non-provisional application, the recordation fee for the enclosed patent assignment, and the surcharge fee pursuant to 37 C.F.R. § 1.16(e). These fees have been calculated as follows:

			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$355		\$710
TOT. CLAIMS	-20 = 26	6	x 9	\$54	x 18 =	\$
IND. CLAIMS	-03 = 4	1	x 40 =	\$40	x 80 =	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED						
ASSIGNMENT FILING FEE				\$40		
SURCHARGE FEE				\$65		
			TOTAL	\$554	TOTAL	

The Commissioner is hereby authorized to charge any additional fees associated with this communication or to credit any overpayment to Deposit Account No. 20-0100.

Assistant Commissioner for Patents  
Page 3



Please address all future correspondence in connection with this case to the attention of the undersigned.

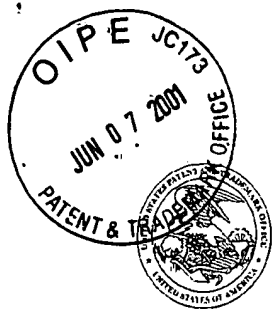
DATED this 5<sup>th</sup> day of June, 2001.

Respectfully submitted,

Clifton W. Thompson  
Attorney for Applicant  
Registration No. 36,947  
Customer No. 20551

THORPE, NORTH & WESTERN, L.L.P.  
P.O. Box 1219  
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CWT:ja



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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/782,941	02/14/2001	Eugene F. Rock	T9089

CONFIRMATION NO. 2326

## FORMALITIES LETTER



\*OC000000006004635\*

Clifton W. Thompson  
THORPE, NORTH & WESTERN, L.L.P.  
P.O. Box 1219  
Sandy, UT 84091-1219

Date Mailed: 04/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/00/2001 SDIRETAI 00000131 09782941

01 FC:201	355.00 OP
02 FC:203	54.00 OP
03 FC:202	40.00 OP
04 FC:205	65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$188.
  - \$108 for 6 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1028.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);



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*A copy of this notice MUST be returned with the reply.*

*Zm*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE